

**Acknowledgement and General Information for
Entities That File Returns Electronically**

2023

Name(s) as shown on return

POND FAMILY FOUNDATION

Tax ID Number

-*1673

Entity address

C/O Ann E Pond Pres 3 CANTER LANE

CAPE ELIZABETH, ME 04107

Thank you for participating in IRS e-file.

1. 2023 990PF income tax return for Federal was filed electronically.
The electronic filing services were provided by Jensen Baird Gardner & Henry.
2. 990PF income tax return was accepted on 04-15-2024 using a Personal Identification Number (PIN) as an electronic signature. The entity entered a PIN or authorized the Electronic Return Originator (ERO) to enter or generate a PIN signature.
The submission ID assigned to this return is 01211820241061eggcmg.

**PLEASE DO NOT SEND A PAPER COPY OF ENTITY'S RETURN TO THE
IRS. IF YOU DO, IT WILL DELAY THE PROCESSING OF THE RETURN.**

**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

2023

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2023 or tax year beginning , 2023, and ending , 20

Name of foundation POND FAMILY FOUNDATION		A Employer identification number 20-1131673
Number and street (or P.O. box number if mail is not delivered to street address) C/O Ann E Pond Pres 3 CANTER LANE	Room/suite	B Telephone number (see instructions) (207) 767-4421
City or town, state or province, country, and ZIP or foreign postal code CAPE ELIZABETH, ME 04107		C If exemption application is pending, check here [
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here [2. Foreign organizations meeting the 85% test, check here and attach computation [
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here [
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 8,188,905	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d), must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here [

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	85,169	85,169		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	855,519			
	b Gross sales price for all assets on line 6a 1,030,707				
	7 Capital gain net income (from Part IV, line 2)		855,519		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	940,688	940,688			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	70,000	23,000		47,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) STM107	2,400	2,400		
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) STM109	96,100	44,068		52,032
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STM110	2,000	2,000		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	4,239	424		3,815
	22 Printing and publications				
	23 Other expenses (attach schedule) STM103	4,283	4,283		
	24 Total operating and administrative expenses. Add lines 13 through 23	179,022	76,175		102,847
	25 Contributions, gifts, grants paid	561,336			561,336
26 Total expenses and disbursements. Add lines 24 and 25	740,358	76,175		664,183	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	200,330				
b Net investment income (if negative, enter -0-)		864,513			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	141,224	61,755	61,755
	2	Savings and temporary cash investments	120,908	383,026	383,026
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) STM137	1,728,218	1,553,029	7,633,274
	c	Investments - corporate bonds (attach schedule) STM138		110,123	110,850
	11	Investments - land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments - mortgage loans				
13	Investments - other (attach schedule)				
14	Land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe _____)				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,990,350	2,107,933	8,188,905	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30 <input checked="" type="checkbox"/>				
	24	Net assets without donor restrictions	1,990,350	2,107,933	
	25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30 <input type="checkbox"/>				
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
29	Total net assets or fund balances (see instructions)	1,990,350	2,107,933		
30	Total liabilities and net assets/fund balances (see instructions)	1,990,350	2,107,933		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,990,350
2	Enter amount from Part I, line 27a	2	200,330
3	Other increases not included in line 2 (itemize) STM115	3	34,653
4	Add lines 1, 2, and 3	4	2,225,333
5	Decreases not included in line 2 (itemize) STM116	5	117,400
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	2,107,933

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
1a Publicly traded securities					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a	1,030,707	175,189	855,518		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a			855,518		
b					
c					
d					
e					
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	855,519
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	}		3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	12,017
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0
3	Add lines 1 and 2		3	12,017
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	12,017
6	Credits/Payments:			
a	2023 estimated tax payments and 2022 overpayment credited to 2023	6a	3,817	
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	3,817
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	8,200
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	
11	Enter the amount of line 10 to be: Credited to 2024 estimated tax Refunded		11	

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes?
1c Did the foundation file Form 1120-POL for this year?
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
4b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered.
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2023 or the tax year beginning in 2023?
10 Did any persons become substantial contributors during the tax year?
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)?
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
14 The books are in care of ANN E POND Telephone no. 207-767-4421 Located at 3 CANTER LANE, CAPE ELIZ., ME ZIP+4 04107
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2023, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows include 1a (1-6), b, c, d, 2 (a, b, c), 3a, b, 4a, b.

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)	X
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)	X
(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)	X
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d) (4)(A)? See instructions	5a(4)	X
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)	X
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b	
c Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>		
d If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	5d	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a	X
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a	X
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	8	X

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANN E POND 3 CANTER LANE CAPE ELIZ. ME 04107	PRESIDENT AND D 14.00	STMA01 70,000	0	0
KYLE POND 62 UPLAND ROAD Waban MA 02468	DIRECTOR 0.20	0	0	0
JOEL POND 20 ARBORSIDE DR FALMOUTH ME 04105	DIRECTOR 0.20	0	0	0
ERIN POND FRIEDLAND 1125 PARK AVE. APT. 11B NY NY 10128	DIRECTOR 0.20	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
DONNA BETTS 37 HEARN ROAD SCARBOROUGH ME 04074	CONSULTING AND ADM	STMC01 78,048

Total number of others receiving over \$50,000 for professional services

Part VIII-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part VIII-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	0
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,414,381
b	Average of monthly cash balances	1b	389,266
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	7,803,647
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	7,803,647
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)	4	117,055
5	Net value of noncharitable-use assets. Subtract line 4 from line 3	5	7,686,592
6	Minimum investment return. Enter 5% (0.05) of line 5	6	384,330

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part IX, line 6	1	384,330
2a	Tax on investment income for 2022 from Part V, line 5	2a	12,017
b	Income tax for 2022. (This does not include the tax from Part V.)	2b	
c	Add lines 2a and 2b	2c	12,017
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	372,313
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	372,313
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1	7	372,313

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	664,183
b	Program-related investments - total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4	4	664,183

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2022	(c) 2022	(d) 2023
1 Distributable amount for 2023 from Part X, line 7 . . .				372,313
2 Undistributed income, if any, as of the end of 2023:				
a Enter amount for 2022 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2023:				
a From 2018				
b From 2019	48,240			
c From 2020	62,058			
d From 2021				
e From 2022	33,750			
f Total of lines 3a through e	144,048			
4 Qualifying distributions for 2023 from Part XI, line 4: \$ <u>664,183</u>				
a Applied to 2022, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2023 distributable amount				372,313
e Remaining amount distributed out of corpus	291,870			
5 Excess distributions carryover applied to 2023 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	435,918			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2022. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2023. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2024				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2018 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2024. Subtract lines 7 and 8 from line 6a	435,918			
10 Analysis of line 9:				
a Excess from 2019	48,240			
b Excess from 2020	62,058			
c Excess from 2021				
d Excess from 2022	33,750			
e Excess from 2023	291,870			

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2023, enter the date of the ruling
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed
b 85% (0.85) of line 2a
c Qualifying distributions from Part XI, line 4, for each year listed
d Amounts included in line 2c not used directly for active conduct of exempt activities
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c
3 Complete 3a, b, or c for the alternative test relied upon:
a "Assets" alternative test - enter:
(1) Value of all assets
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed
c "Support" alternative test - enter:
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
(3) Largest amount of support from an exempt organization
(4) Gross investment income

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

KIRK POND deceased 10-26-2022

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

990APP

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Mass. General Hospital 125 Nashua Street, Suite 540 Boston MA 02114		PC	James J. Dineen, MD Maine-MGH Health	50
Maine Behavioral Healthcare 78 Atlantic Place South Portland ME 04106		PC	Evening of Possibility 2023	10,00
USM Foundation PO Box 9300 Portland ME 04104-9300		PC	Portland Campus Transformation Fund	50,00
State Street Church 159 State Street Portland ME 04101		PC	Clothes Closet	1,00
Six Rivers Youth Sports PO Box 254 Topsham ME 04086		PC	Ice Arena and Sports Facility	2,50
USM Foundation PO Box 9300 Portland ME 04104		PC	School of Social Work	5,00
Table of Plenty 37 School Street Berwick ME 03901		PC	Community meal service for those in need	1,00
Maine Behavioral Health 78 Atlantic Place South Portland ME 04106		PC	Recognition Flowers	36
Total				3a
b Approved for future payment				
Total				3b

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Boys and Girls Clubs 277 Cumberland Ave. Portland ME 04101		PC	Spring for the Kids	2,50
Mt. Ararat High School 66 Eagles Way Topsham ME 04086		PC	To support Boys & Girls Lacrosse programs	16,50
Maine Behavioral Healthcare 78 Atlantic Place South Portland ME 04106		PC	Balance of capital campaign pledge for GLCOE	176,00
First Congregational Church 301 Cottage Road South Portland ME 04106		PC	Community Crisis Ministries	25,00
First Congregational Church 301 Cottage Road South Portland ME 04106		PC	General support	25,00
Wayfinder Schools PO Box 555 Camden ME 04843		PC	General support	5,00
Maine Behavioral Healthcare 78 Atlantic Place South Portland ME 04106		PC	Signs of Hope	10,00
Boys and Girls Clubs 277 Cumberland Ave. Portland ME 04101		PC	In Memory of David Sherman	1,00
Total				3a
b Approved for future payment				
Total				3b

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Cape Elizabeth Methodist Church 280 Ocean House Road Cape Elizabeth ME 04107		PC	General support	50
American Lung Association 55 West Wacker Drive, Suite 1150 Chicago IL 60601		PC	Phil Rowe sponsor	50
Children's Museum & Theatre of ME 250 Thompson's Point Portland ME 04102		PC	Centennial Celebration	1,00
Maine Medical Center 22 Bramhall Street Portland ME 04102		PC	Oncology Mental Health Fund	25,00
Northern Light Mercy Hospital PO Box 679 Portland ME 04104-0679		PC	Gary's House	1,00
Falmouth Congregational Church 267 Falmouth Road Falmouth ME 04105		PC	Kirk P. Pond Scholarship Fund	50,00
Maine Behavioral Healthcare 78 Atlantic Place South Portland ME 04106		PC	Signs of Hope/In honor of Cheryl Rust	50
Gulf of Maine Reserach Institute 390 Commercial Street Portland ME 04101		PC	Lab Venture program	5,000
Total				3a
b Approved for future payment				
Total				3b

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Cromwell Center 97A Exchange Street Portland ME 04101		PC	General support	5,00
Jewish Community Alliance 1342 Congress Street Portland ME 04102		PC	2023 Annual Campaign	5,00
St. Alban's Episcopal Church 885 Shore Road Cape Elizabeth ME 04107		PC	In memory of Patricia Townsend	1,00
Carroll School 45 Waltham Road Wayland MA 01778		PC	Grade 6 English Language Arts Classes	10,00
Buckingham, Browne & Nichols School 80 Gerry's Landing Road Cambridge MA 02138		PC	The BB&N Fund	5,00
Trinity School 139 West 91st Street New York NY 10024		PC	Trinity Fund	5,00
Maine Medical Center 22 Bramhall Street Portland ME 04102-3175		PC	Dr. Karl C. Sze Fund	1,00
Services for the Underserved 463 7th Avenue, 17th Floor New York NY 10018		PC	In honor of Peter Friedland	1,00
Total				3a
b Approved for future payment				
Total				3b

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Newton Turkey Trot 638 Commonwealth Avenue Newton Center MA 02459		PC	Fundraising race	2,00
Immigrant Legal Advocacy Project 489 Congress Street Portland ME 04101		PC	General support	5,00
Thomas Memorial Library Foundation 6 Scott Dyer Road Cape Elizabeth ME 04107		PC	In honor of Kristen Homicz	1,50
USM Foundation PO Box 9300 Portland ME 04104-9300		PC	Promise Scholarship	50,00
Boys and Girls Clubs 277 Cumberland Avenue Portland ME 04101		PC	In honor of Tom Manning	5,00
Maine Behavioral Health 78 Atlantic Place South Portland ME 04106		PC	Dr. Siegel Event	1,96
Understanding Our Differences 100 Walnut Street Newtonville MA 02460		PC	General support	5,00
Simple Gifts PO Box 2684 South Portland ME 04106		PC	Financial support for in-need medical patients	1,00
Total				3a
b Approved for future payment				
Total				3b

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SouthernMaine Boxing Club 140 Maine Street Biddeford ME 04005		PC	Youth Program	5,00
Milestone Recovery 65 India Street Portland ME 04101		PC	General support	2,00
Maine Cancer Foundation 170 US Route One, Suite 250 Falmouth ME 04105		PC	General support	5,00
STRIVE 28 Foden Road South Portland ME 04106		PC	General support	2,00
Preble Street 55 Portland Street Portland ME 04101		PC	General support	10,00
Shalom House 106 Gilman Street Portland ME 04102		PC	General support	5,00
Good Shepherd Food Bank 3121 Hotel Road Auburn ME 04211		PC	General support	5,00
Grtr Portland Immigrant Welcome Cen 24 Preble Street, 4th Fl. Portland ME 04101		PC	General support	5,00
Total				3a
b Approved for future payment				
Total				3b

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Partners for World Health 40 Walch Drive Portland ME 04103		PC	General support	2,00
Making Community Happen 46 Summer Street Rockland ME 04841		PC	Meals on wheels	1,00
Total				3a 561,331
b Approved for future payment				
Total				3b

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, and Subtotal.

(See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Part XVI Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3) or in section 527? [] Yes [X] No

b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee: Michael J. Quinlan, Date: 04-05-2024, Title: PRESIDENT

May the IRS discuss this return with the preparer shown below? See instructions [X] Yes [] No

Paid Preparer Use Only

Print/Type preparer's name: Michael J Quinlan, Preparer's signature: Jensen Baird Gardner & Henry, Date: 04-05-2024, Check self-employed [] if PTIN: P01356119, Firm's name: Jensen Baird Gardner & Henry, Firm's address: Ten Free Street, Portland ME 04101, Firm's EIN: [], Phone no: 207-775-7271

Federal Supporting Statements

2023 PG01

Name(s) as shown on return

POND FAMILY FOUNDATION

Tax ID Number

20-1131673

Form 990PF - Part VII
Compensation Explanation

Statement #A01

Name

ANN E POND

Explanation

FOR SERVICES RENDERED AS PRESIDENT OF CORPORATION AND OVERSEEING GRANT MAKING.

Form 990PF - Part VII - Line 3
Contractor Compensation Explanation

PG01
Statement #C01

Name

DONNA BETTS

Explanation

GRANT AND FOUNDATION ADMINISTRATION AND CONSULTING

Form 990PF - Part III - Line 3
Other Increases Schedule

PG01
Statement #115

Uncleared checks at 12-31-23	28,000
Miscellaneous	6,653
Total	34,653

Form 990PF - Part III - Line 5
Other Decreases Schedule

PG01
Statement #116

Uncleared checks at end of 2022	117,400
Total	117,400

Federal Supporting Statements

2023 PG01

Name(s) as shown on return

Tax ID Number

POND FAMILY FOUNDATION

20-1131673

**Form 990PF - Part II - Line 10(b)
Investments: Corporate Stock Schedule**

Statement #137

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
HMPAYSON MANAGED ACCT.	1,728,218	1,553,029	7,633,274
Totals	<u>1,728,218</u>	<u>1,553,029</u>	<u>7,633,274</u>

**Form 990PF - Part II - Line 10(c)
Investments: Corporate Bond Schedule**

PG01

Statement #138

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
HM PAYSON MANAGED ACT	-----	110,123	110,850
Totals	<u>-----</u>	<u>110,123</u>	<u>110,850</u>

Federal Supporting Statements

2023 PG01

Tax ID Number
20-1131673

Name(s) as shown on return
POND FAMILY FOUNDATION

Statement #103~

Form 990PF - Part I - Line 23 - Other Expenses Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
CORPORATE ANNUAL REPORT FEE	35	35	0	0
MISC. OFFICE EXPENSES, Comptrs	4,206	4,206	0	0
MISC.	42	42	0	0
Totals	4,283	4,283	0	0

PG01

Statement #107~

Form 990PF - Part I - Line 16(a) - Legal Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
JENSEN BAIRD	2,400	2,400	0	0
Totals	2,400	2,400	0	0

Federal Supporting Statements

2023 PG01

Tax ID Number
20-1131673

Name(s) as shown on return
POND FAMILY FOUNDATION

Statement #109~

Form 990PF - Part I - Line 16(c) - Other Professional Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
HM PAYSON	18,052	0	0	0
DONNA BETTS	78,048	26,016	0	52,032
Totals	<u>96,100</u>	<u>44,068</u>	<u>0</u>	<u>52,032</u>

PG01

Statement #110~

Form 990PF - Part I - Line 18 - Taxes Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
IRS EXCISE TAX	0	0	0	0
FOREIGN TAXES	0	0	0	0
IRS ESTIMATED TAX PAYMENTS	2,000	2,000	0	0
Totals	<u>2,000</u>	<u>2,000</u>	<u>0</u>	<u>0</u>

Federal Supporting Statements

(This page is e-filed with the return. Include it if paper-filing.)

2023 PG01

Name(s) as shown on return

POND FAMILY FOUNDATION

Your Social Security Number

20-1131673

Form 990PF - Part XIV - Line 2

Application Submission Information

Grant Program

GENERAL

Applicant Name

ANN ST. JOHN POND

Address

3 CANTER LANE
CAPE ELIZABETH ME 04107

Telephone

207-767-4421

Email Address

NSTJOHN46@HOTMAIL.COM

Form & Content

THERE IS NO PRESCRIBED FORM IN WHICH THE APPLICATIONS SHOULD BE SUBMITTED. THE APPLICANT MUST SUBMIT MATERIALS REGARDING ITS IRS TAX EXEMPT STATUS AND EXPLAIN THE PURPOSE FOR THE REQUESTED GRANT.

Submission Deadline

NO SUBMISSION DEADLINE

Restrictions on Award

THE FOUNDATION HAS LIMITED RESOURCES AND LIMITS GRANTS TO TAX EXEMPT ORGANIZATIONS WHICH QUALIFY AS PUBLIC CHARITIES.

Account Number: **5990712211**
 December 01, 2023 To December 31, 2023

*Pond Family Foundation
 EIN: 20-1131673
 2023 990-NF, Part II*

Asset Statement (Continued)

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Yield Current/ Maturity
Fixed Income						
ISHARES IBONDS DEC 2024 TERM CORP ETF		4,450.000	110,849.50	24.91	3,341.95	3.01
			110,123.25	24.75	726.25	
* Total Fixed Income			110,849.50		3,341.95	3.01
			110,123.25		726.25	

Equity / Stocks Diversification Summary



Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Current Yield
Equity / Stocks						
Communication Services						
ALPHABET INC CAP STK CL A	GOOGL	3,000.000	419,070.00	139.69	339,130.01	
			79,939.99	26.65		
** Total Communication Services		Sub-Total	419,070.00		0.00	0.00
			79,939.99		339,130.01	
Consumer Discretionary						
AMAZON.COM INC	AMZN	2,000.000	303,880.00	151.94	220,054.75	
			83,825.25	41.91		
HOME DEPOT INC	HD	750.000	259,912.50	346.55	6,270.00	2.41
			124,746.95	166.33	135,165.55	
MCDONALDS CORP COM	MCD	200.000	59,302.00	296.51	1,336.00	2.25
			51,833.00	259.17	7,469.00	
NIKE INC CL B	NKE	4,200.000	455,994.00	108.57	6,216.00	1.36
			64,373.00	15.33	391,621.00	
TJX COS INC NEW	TJX	2,600.000	243,906.00	93.81	3,458.00	1.42
			24,189.97	9.30	219,716.03	
** Total Consumer Discretionary		Sub-Total	1,322,994.50		17,280.00	1.31
			348,968.17		974,026.33	

Account Number: **5990712211**
 December 01, 2023 To December 31, 2023

Asset Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Current Yield
Consumer Staples						
PROCTER & GAMBLE CO	PG	2,000.000	293,080.00 87,451.45	146.54 43.73	7,526.00 205,628.55	2.57
WALMART INC	WMT	975.000	153,708.75 44,548.17	157.65 45.69	2,223.00 109,160.58	1.45
** Total Consumer Staples		Sub- Total	446,788.75 131,999.62		9,749.00 314,789.13	2.18
Health Care						
AMGEN INC	AMGN	800.000	230,416.00 148,612.16	288.02 185.77	7,200.00 81,803.84	3.12
IDEXX LABORATORIES INC	IDXX	2,400.000	1,332,120.00 10,728.00	555.05 4.47	1,321,392.00	
JOHNSON & JOHNSON COM	JNJ	1,200.000	188,088.00 79,617.05	156.74 66.35	5,712.00 108,470.95	3.04
PENUMBRA INC COM	PEN	500.000	125,770.00 61,088.00	251.54 122.18	64,682.00	
PFIZER INC	PFE	3,750.000	107,962.50 57,475.27	28.79 15.33	6,300.00 50,487.23	5.84
** Total Health Care		Sub- Total	1,984,356.50 357,520.48		19,212.00 1,626,836.02	0.97
Industrial						
GENERAL DYNAMICS CORP	GD	900.000	233,703.00 56,877.93	259.67 63.20	4,752.00 176,825.07	2.03
L3HARRIS TECHNOLOGIES INC COM	LHX	600.000	126,372.00 47,076.00	210.62 78.46	2,736.00 79,296.00	2.17
WEX INC COM	WEX	2,500.000	486,375.00 54,675.00	194.55 21.87	431,700.00	
** Total Industrial		Sub- Total	846,450.00 158,628.93		7,488.00 687,821.07	0.88
Information Technology						
APPLE INC	AAPL	4,600.000	885,638.00 127,642.33	192.53 27.75	4,416.00 757,995.67	0.50
CISCO SYSTEMS INC	CSCO	4,000.000	202,080.00 105,799.60	50.52 26.45	6,240.00 96,280.40	3.09
MICROSOFT CORP	MSFT	2,550.000	958,902.00 73,059.91	376.04 28.65	7,650.00 885,842.09	0.80
ORACLE CORP	ORCL	3,000.000	316,290.00 57,582.35	105.43 19.19	4,800.00 258,707.65	1.52
** Total Information Technology		Sub- Total	2,362,910.00 364,084.19		23,106.00 1,998,825.81	0.98

Account Number: **5990712211**
 December 01, 2023 To December 31, 2023

Asset Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Current Yield
Mutual Funds - Equity						
ISHARES RUSSELL MID-CAP GROWTH (MKT)	IWP	2,400.000	250,704.00 111,888.00	104.46 46.62	1,344.00 138,816.00	0.54
** Total Mutual Funds - Equity		Sub-Total	250,704.00 111,888.00		1,344.00 138,816.00	0.54
* Total Equity / Stocks			7,633,273.75 1,553,029.38		78,179.00 6,080,244.37	1.02
Grand Total Assets			8,127,149.20 2,040,097.60		101,969.02 6,087,051.60	1.25

Transaction Statement

Date	Description	Principal Cash	Income Cash	Cost	Gain / Loss
Beginning Balance		0.00	0.00	2,030,868.15	
Dividend Income					
12/01/23	DIVIDEND ON 600 SHS L3HARRIS TECHNOLOGIES INC COM AT 1.14 PER SHARE PAYABLE 12/01/2023 EX DATE 11/16/2023		684.00		
12/04/23	DIVIDEND ON 3,750 SHS PFIZER INC AT 0.41 PER SHARE PAYABLE 12/04/2023 EX DATE 11/09/2023		1,537.50		
12/05/23	DIVIDEND ON 1,200 SHS JOHNSON & JOHNSON COM AT 1.19 PER SHARE PAYABLE 12/05/2023 EX DATE 11/20/2023		1,428.00		
12/08/23	DIVIDEND ON 800 SHS AMGEN INC AT 2.13 PER SHARE PAYABLE 12/08/2023 EX DATE 11/16/2023		1,704.00		
12/14/23	DIVIDEND ON 750 SHS HOME DEPOT INC AT 2.09 PER SHARE PAYABLE 12/14/2023 EX DATE 11/29/2023		1,567.50		
12/14/23	DIVIDEND ON 2,550 SHS MICROSOFT CORP AT 0.75 PER SHARE PAYABLE 12/14/2023 EX DATE 11/15/2023		1,912.50		
12/15/23	DIVIDEND ON 200 SHS MCDONALDS CORP COM AT 1.67 PER SHARE PAYABLE 12/15/2023 EX DATE 11/30/2023		334.00		
12/27/23	DIVIDEND ON 2,400 SHS ISHARES RUSSELL MID-CAP GROWTH (MKT) AT .153296 PER SHARE PAYABLE 12/27/2023 EX DATE 12/20/2023		367.91		
Total Dividend Income		0.00	9,535.41	0.00	0.00